

# EFD FORM NF UIT Walkthrough

Navigate to the website <https://www.nasaaefd.org> to begin.

## Purpose:

This document will show how a Filer can create UIT Filings within the EFD system.

## Background:

This document assumes that you have already registered with the EFD system. For help with EFD registration, please see additional help documentation for Registration.

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# North American Securities Administrators Association, Inc.

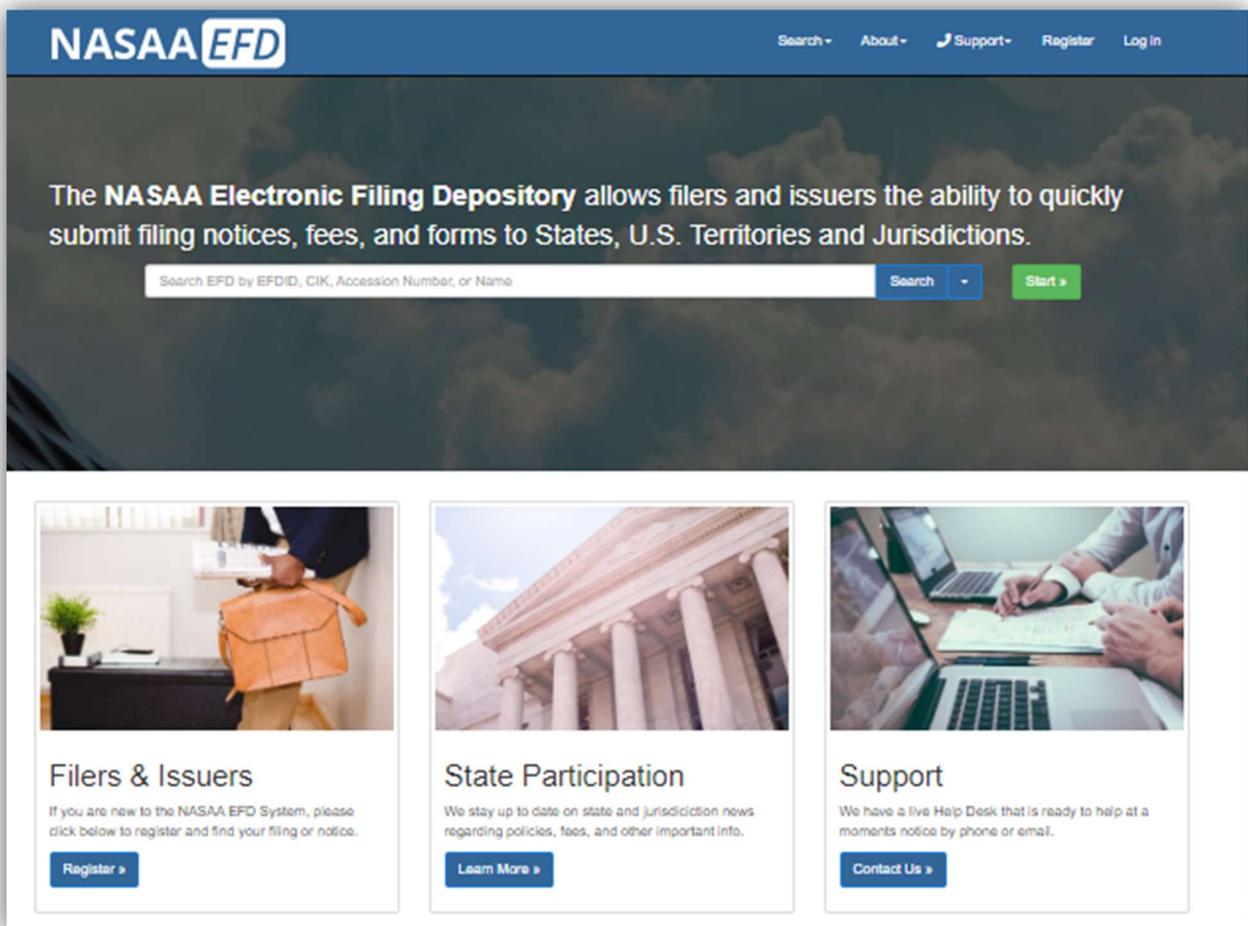
Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

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## Screen: Home Page

This is the home page for the Electronic Filing Depository, which is available at <https://www.nasaaefd.org>.



Filers must complete the registration process in order to create a new account.

If a Filer already has an account with EFD, then the Filer clicks **Log In** at the top of the screen.

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EFD FORM NF UIT Walkthrough

## Screen: Login Screen

The screenshot shows a login form with the following elements:

- Title:** Login
- Fields:** Login Name, Password (with a visibility icon)
- Security:** reCAPTCHA 'I'm not a robot' checkbox
- Action:** Log in button
- Links:** Forgot My Login, Forgot My Password, Register A New Account

- The Filer must enter their Login Name and Password.
- The Filer must select the 'I'm not a robot' option, and pass any reCAPTCHA checks when presented.
- The Login Name IS NOT case sensitive.
- The Password IS case sensitive.

Use the option buttons at the bottom of this form to retrieve lost Login Names or Passwords.

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EFD FORM NF UIT Walkthrough

## Screen: Accept Terms and Conditions

Filers must click the **Accept the Terms and Conditions** button upon logging into the EFD website.

### Terms & Conditions

Accept the Terms and Conditions

Reject the Terms and Conditions

#### NASAA ELECTRONIC FILING DEPOSITORY

#### FILER TERMS OF USE

These Terms of Use were last updated on November 25, 2014.

By clicking "**Accept**," You certify that You have read and understand all of the NASAA Electronic Filing Depository System Filer Terms of Use and intend to form a binding agreement with NASAA on those terms without modification or amendment.

These Filer Terms of Use constitute an agreement between the North American Securities Administrators Association, Inc. ("**NASAA**") and each individual (each, a "**Filer User**" or "**You**" and variations thereof such as "**Your**") who is issued an EFD Filer user account or who otherwise accesses the applications, content, data, or services available to those with EFD Filer user accounts, in each case on or through the website operated by NASAA and accessible at <https://www.efdnasaa.org> (the "**Website**").

Filers will not be allowed to proceed until the Terms and Conditions on this screen are accepted.

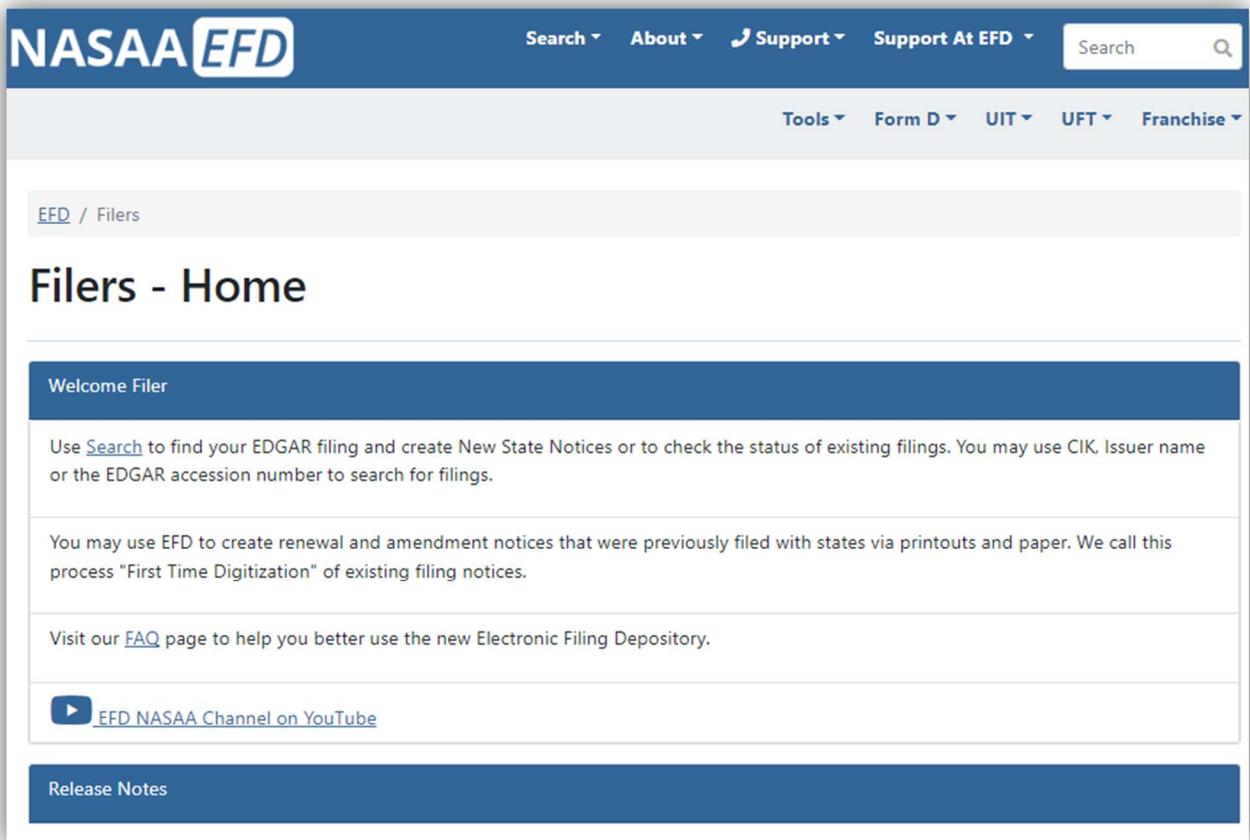
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EFD FORM NF UIT Walkthrough

## Screen: Home Screen Logged In

After accepting the Terms and Conditions, Filers are logged in and the Filer's Login Name will appear at the top of the screen.



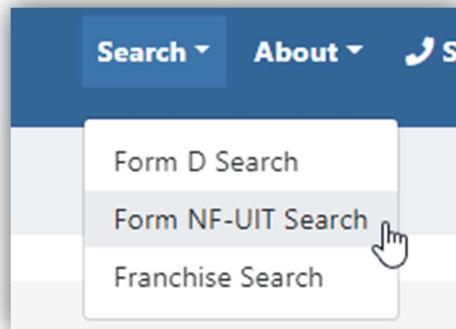
Filers may now begin the UIT notice creation process.

Click **Search** and select Form NF - UIT Search.

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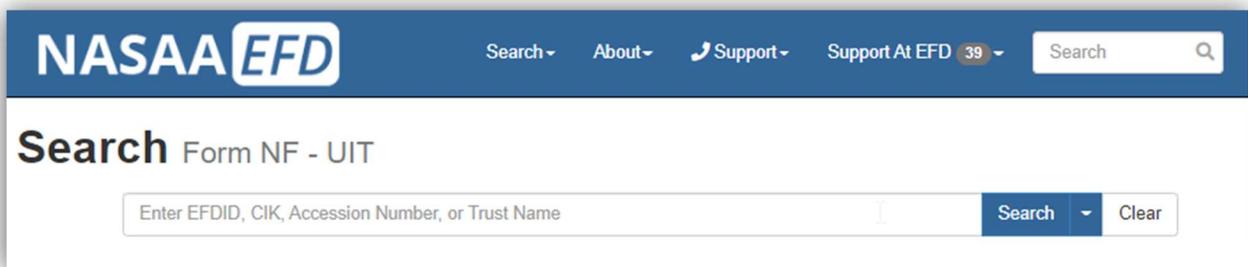
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EFD FORM NF UIT Walkthrough



## Screen: Search Screen

The Form NF-UIT search screen allows filers to search for Trusts pulled from the SEC EDGAR.



The FORM NF - UIT Search utility is used to search for the Form UIT Filing. Filers may use a variety of search criteria in the search input box including:

- CIK (Central Index Key)
- Default
- Accession Number
- EFDID
- Trust Name
- SEC File Number

The search results are limited to show only the first 100 results. If more than 100 results are found, the total number of matching results is provided above the results list and can be accessed by navigating to the next page of results.

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EFD FORM NF UIT Walkthrough

## Screen: Search Results

By clicking **Search**, Filers are provided with their search results. Click the **EFD ID** link to view the data retrieved from EDGAR.

The screenshot shows a search interface titled "Search Form NF - UIT". A search input field contains the number "556". To the right of the input field are "Search" and "Clear" buttons. Below the input field, the text "Search Results:" is displayed. A note states: "Results shown below represent the latest revision of the offering accession number meeting your search criterion. The search criteria discovered 128 results. The first 100 are shown below." Below this note is a table with the following columns: EFDID, CIK, Trust Name, File Number, and Accession. The table contains seven rows of data.

EFDID	CIK	Trust Name	File Number	Accession
<a href="#">438645</a>	0001911762	FT 10110	333-264131	0001445546-22-002574
<a href="#">438234</a>	0001908370	FT 10087	333-264052	0001445546-22-002556
<a href="#">427579</a>	0001874357	SmartTrust 556	333-261069	0001387131-22-002438
<a href="#">427556</a>	0001893440	FT 9925	333-261929	0001445546-22-000546
<a href="#">423976</a>	0001880495	FT 9791	333-260949	0001445546-21-006456
<a href="#">423984</a>	0001879691	FT 9789	333-260950	0001445546-21-006455
<a href="#">405941</a>	0001875568	FT 9754	333-259907	0001445546-21-005438

## Screen: View Filing

Clicking the **EFDID** brings the Filer to the View Unit Investment Trust screen or View UIT for short. The View UIT screen displays information that was downloaded from EDGAR by EFD. EFD has provided references to the files that were provided at SEC'S EDGAR System.

# North American Securities Administrators Association, Inc.

Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

## EFD FORM NF UIT Walkthrough

EFD / [Form NF - UIT](#) / 438645

### EFD: View Unit Investment Trust

FT 10110 0001911762 ([EDGAR](#))

EFDID: [438645](#)

<b>Trust Name:</b>	FT 10110
<b>Company Conformed Name:</b>	FT 10110
<b>Name of Depositor:</b>	
<b>Central Index Key:</b>	0001911762
<b>SEC File Number:</b>	333-264131
<b>SEC Film Number:</b>	22805556
<b>IRS Number:</b>	000000000

**Mailing Address:** C/O FIRST TRUST PORTFOLIOS  
L.P.  
120 EAST LIBERTY DRIVE,  
SUITE 400  
WHEATON, IL 60187

[Start New NF Configuration](#)

#### State Filings

There are currently no active state notices for this offering.

#### Edgar Files

The following files are here for reference purposes only. For the actual documents, please visit the SEC's [EDGAR](#) System.

Type	Accession Number	Description	Edgar Date	EFD Date	
S-6	0001445546-22-002574	INITIAL REGISTRATION STATEMENT (s-6.htm)	Apr. 5, 2022	Apr. 5, 2022	<a href="#">View</a>

Once the Filer identifies the correct Trust, click the **Start New NF Configuration** button.



## Screen: Select States

Clicking the **Start New NF Configuration** button directs the Filer to “Select States screen”. The Filer must select a state. If there is a selection already it could be because the states represent the selections made in recipient information in EDGAR. If a state is disabled it’s because another user retains control of the trust.

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EFD FORM NF UIT Walkthrough

[EFD](#) / [Filers](#) / [UIT](#) / [438645](#) / [FT 10110](#) / [Select States](#)

## Form NF -- UIT: Select States

EFDID: **438645**

FT 10110 0001911762 ([EDGAR](#))

**State Selections:** Next

Selections shown below represent the selections made in recipients information in EDGAR. States that are disabled could be because the control of the Trust is retained by another Filer/Filer Group in EFD for that state, or the state is configured such that State Notifications are unavailable through EFD.

[Uncheck All](#)

<input type="checkbox"/> AK (Alaska)	<input type="checkbox"/> AL (Alabama)	<input type="checkbox"/> AR (Arkansas)	<input type="checkbox"/> CA (California)
<input type="checkbox"/> CO (Colorado)	<input type="checkbox"/> CT (Connecticut)	<input type="checkbox"/> DC (District of Columbia)	<input type="checkbox"/> DE (Delaware)
<input type="checkbox"/> GA (Georgia)	<input type="checkbox"/> HI (Hawaii)	<input type="checkbox"/> IA (Iowa)	<input type="checkbox"/> ID (Idaho)
<input type="checkbox"/> IL (Illinois)	<input type="checkbox"/> IN (Indiana)	<input type="checkbox"/> KS (Kansas)	<input type="checkbox"/> KY (Kentucky)
<input type="checkbox"/> LA (Louisiana)	<input type="checkbox"/> MA (Massachusetts)	<input type="checkbox"/> MD (Maryland)	<input type="checkbox"/> MI (Michigan)
<input type="checkbox"/> MN (Minnesota)	<input type="checkbox"/> MO (Missouri)	<input type="checkbox"/> MS (Mississippi)	<input type="checkbox"/> MT (Montana)
<input type="checkbox"/> ND (North Dakota)	<input type="checkbox"/> NE (Nebraska)	<input type="checkbox"/> NH (New Hampshire)	<input type="checkbox"/> NJ (New Jersey)
<input type="checkbox"/> NM (New Mexico)	<input type="checkbox"/> NV (Nevada)	<input type="checkbox"/> NY (New York)	<input type="checkbox"/> OH (Ohio)
<input type="checkbox"/> OK (Oklahoma)	<input type="checkbox"/> OR (Oregon)	<input type="checkbox"/> PA (Pennsylvania)	<input type="checkbox"/> PR (Puerto Rico)
<input type="checkbox"/> RI (Rhode Island)	<input type="checkbox"/> SC (South Carolina)	<input type="checkbox"/> SD (South Dakota)	<input type="checkbox"/> TN (Tennessee)
<input type="checkbox"/> TX (Texas)	<input type="checkbox"/> UT (Utah)	<input type="checkbox"/> VA (Virginia)	<input type="checkbox"/> VI (U.S. Virgin Islands)
<input type="checkbox"/> VT (Vermont)	<input type="checkbox"/> WI (Wisconsin)	<input type="checkbox"/> WV (West Virginia)	

Next

Click **Next** to proceed to the next step.

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EFD FORM NF UIT Walkthrough

## Screen: Configure Trust Information

Next, the Filer will indicate the Trust information, Contact Information, Additional Information (Broker Dealer, CIK, BD CRD#, and more), and Notice Period Dates.

Contact Information has a “Copy from Profile” button and drop down. You can load your group information here. This is similar to FORMD Filer Information.

Form NF -- UIT: Trust Information EFDID: 264146  
FT 5271 0001623134 (EDGAR)

Trust Name: FT 5271  
Sponsor: -- No Sponsor --

Contact Information

Name: Contact Name  
Firm Name: Contact Firm Name  
Address: Contact Address  
Address: Contact Address  
City: Contact City (required)  
State: Contact State (required)  
Zip Code: Contact Zip Code (required)  
Contact Telephone Number: Filer Phone (include Extension Please)  
Contact Fax Number: Filer Fax (Optional)  
Email: Contact Email

Additional Information

CIK #: 0001623134 Fed. Id #: Federal Id Number  
Broker Dealer: Broker Dealer SEC Reg #: SEC Reg Number  
BD CRD #: BD CRD Number State Inc.: -- Select the State --

Notice Period Dates

Notice Period: Begin: [Begin Date] End: [End Date]  
The issuer elects to have its notice filing made effective upon filing.  
The issuer elects to have its notice filing period begin with SEC Effectiveness and thereby agrees to provide the above state prompt notice of such effectiveness.

Next

Click **Next** to proceed to the next step.

## Screen: Configure Trust Issuer

Filers must supply the Issuer Information. Filer can click on the “Copy From S6” to load information from the S6. If this is incorrect the filer will have to manually input the Issuer Information.

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EFD FORM NF UIT Walkthrough

Form NF -- UIT: Trust Issuer EFDID: 264146

FT 5271 0001623134 (EDGAR) Next

Issuer Information Copy From S6

**Issuer Name:**

**Address:**

**Address:**

**City:**

**State:**

**Zip Code:**

Back Next

Click **Next** to proceed to the next step.

## Screen: Configure NF Overview

The Overview page allows you to see your current UIT Configuration, drafts, and paid filings. Series/Portfolio states will have a “[+] Add Series” next to it. Trust level states will have a “[+] Configure” next to it. To start a UIT filing click on “[+] Add Series” and/or “[+] Configure” button(s).

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## EFD FORM NF UIT Walkthrough

Form NF -- UIT: Overview EFDID: 264146

FT 5271 0001623134 (EDGAR)

UIT Configuration <span style="float: right;"><input checked="" type="checkbox"/> History</span>	
Alabama	<input type="button" value="Add Series"/>
Alaska	<input type="button" value="Add Series"/>
Arkansas	<input type="button" value="Add Series"/>
California	<input type="button" value="Configure"/>
Colorado	<input type="button" value="Add Series"/>
Delaware	<input type="button" value="Add Series"/>
District of Columbia	<input type="button" value="Add Series"/>
Georgia	<input type="button" value="Configure"/>

### Screen: Configure NF Type

The Configure NF Type page will collect information based on state requirements and series level. If you are a Series/ Portfolio Level state you will provide a Series Name. We give you the filer the ability to provide your State File Number if you know this. Further you can provide a Securities Description and Fiscal year end of issuer. Select your Notice type to proceed.

Types of Notice Types (Circles) – You **shall** select at least one of the following:

- Initial
- Amendment
- Renewal
- Terminate
- Withdrawal

Specialized Notice Types (Squares) – You **can** select only one of the following:

- Exemption
- Sales Report
- Increase Dollar Amount
- Other

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## EFD FORM NF UIT Walkthrough

**Form NF -- UIT: Configure NF Type** EFDID: [345701](#)

**Advisors Disciplined Trust 2038 0001806456** ([EDGAR](#))

---

**District of Columbia For Series Level States Only** Next

Trust Name:

Series Name:

State File Number:   
This could be provided to you from the State Regulator to allow them to identify a specific Series or Umbrella/Trust Form NF Filing, and subsequent updates to that filing.

Securities Description:

Fiscal Year End of Issuer:

Month:  v

Day:  v

N/A

**Are all Securities described on this form offered in one prospectus?**  
 Yes  No

**Filing Notice Type for This Item:**

Initial  Amendment  Renewal  Terminate  Withdrawal

Exemption  Sales Report  Increase Dollar Amount  Other

Clear

---

Back Next

Click **Next** to continue.

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EFD FORM NF UIT Walkthrough

## Screen: Configure NF Offering Amount

The Filer Configure NF Offering Amount screen collects the Offering amount information.

Form NF -- UIT: Configure NF Offering Amount EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy Next

To determine the basis to calculate the filing fee, provide the following:

Choose:  Indefinite Offering Amount  
 Definite Offering Amount

Offering Amount \$ Offering Amount

Exemption Filing  Yes  
 No

Net Assets  Yes  
 No

Back Next

Click **Next** to continue.

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EFD FORM NF UIT Walkthrough

## Screen: Configure NF Sales Report

If the filer selected “Sales Report” as a special notice type they will have to complete a Sales Report

Form NF -- UIT: Configure NF Sales Report EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy Next

For filings to increase the dollar amount of securities reported during the Notice Period provide the following:

Sales Report Period:

Period Begin:

Period End:

Balance at Beginning of Period	\$	<input type="text" value="Balance at Beginning of Period"/>	<input type="text" value=".00"/>	<input type="checkbox"/> N/A
Additional Increases during Period:	\$	<input type="text" value="Additional Increases during Period:"/>	<input type="text" value=".00"/>	<input type="checkbox"/> N/A
Amount Available for Sale:	\$	<input type="text" value="Amount Available for Sale:"/>	<input type="text" value=".00"/>	<input type="checkbox"/> N/A
Sales During Period:	\$	<input type="text" value="Sales During Period"/>	<input type="text" value=".00"/>	<input type="checkbox"/> N/A
Unsold Balance at End of Period:	\$	<input type="text" value="Unsold Balance at End of Period"/>	<input type="text" value=".00"/>	<input type="checkbox"/> N/A

Back Next

Click **Next** to continue.

## Screen: Configure NF Increase Offering Amount

If you selected “Increase Offering Amount” as a special notice type you will have to fill out a increase of dollar amount of securities report.

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## EFD FORM NF UIT Walkthrough

Form NF -- UIT: Configure NF Increase Offering Amount EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy

For filings to increase the dollar amount of securities reported during the Notice Period provide the following:

Previous Amount	\$	Previous Amount of Securities	.00
Amount of Increase	\$	Amount of Increase	.00
Cumulative Amount:	\$	Cumulative amount of Securities	.00

Click **Next** to continue.

### Screen: Configure NF Other

If you select “Other” as a special notice type you will have the ability to notify the regulator of Other Notification Types. Keep in mind that this may generate post-filing charges requested by the state.

Form NF -- UIT: Configure NF Other Filing EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy

This is a paragraph about the OTHER Notification Type, and how this may generate post-filing charges requested by the state.

Other Filing

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EFD FORM NF UIT Walkthrough

Click **Next** to continue.

## Screen: Configure NF Amendment

This screen's purpose is to determine if there are any "Specialty" type Amendments such as a Name Change.

Types of "Specialty" Amendments:

- Name Change
- Address Change
- Contact Change
- Other

Form NF -- UIT: Configure NF Amendment EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy Next

This determines if this Amendment is a "specialty" type amendment, such as a Name Change.

Type of Amendment:

Name Change  Address Change  Contact Change  Other

Back Next

Click **Next** to continue.

## Screen: Configure NF Contact Information

The following screen shows the filer the contact information entered during the configuration process. Review the information on this page before proceeding to the next page.

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## EFD FORM NF UIT Walkthrough

Form NF -- UIT: Configure NF Contact Information EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy Next

Contact Information Copy From Profile

Name:	Wendy Winchim
Firm Name:	Contact Firm Name
Address:	123 Yellow Brick Road
Address:	Contact Address
City:	oz
State:	ks
Zip Code:	56489
Contact Telephone Number:	2345678890
Contact Fax Number:	Filer Fax (Optional)
Email:	Contact Email

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EFD FORM NF UIT Walkthrough

Click **Next** to continue.

## Screen: Configure NF Issuer

The following screen shows the filer the Issuer information entered during the configuration process. Review the information on this page before proceeding to the next page. If nothing is available on the page you can click “Copy From S6” to load the issuer info from the S6 or manually input the information.

Form NF -- UIT: Configure NF Issuer EFDID: 264146

FT 5271 0001623134 (EDGAR)

Alabama: the 456 new indy Next

Issuer Information Copy From S6

Issuer Name: SUPER FUNDS DISTRIBUTORS, LLC

Address: C/O FIRST TRUST PORTFOLIOS L.P.

Address: 120 E. LIBERTY DRIVE, SUITE 400

City: WHEATON

State: IL

Zip Code: 60187

Back Next

Click **Next** to continue.

## Screen: Confirm NF

The following screen shows the filer what they have inputted during the filing process. The filer has the ability to click the three dots next to each section to go back and make a change if necessary. If everything looks correct the filer can click the check box for Signature and provide a Signature and Title.

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## EFD FORM NF UIT Walkthrough

Form NF -- UIT: Confirm NF EFDID: 264146

FT 5271 0001623134 (EDGAR)

This is a paragraph about the OTHER Notification Type, and how this may generate post-filing charges requested by the state.

<b>Details</b>			
Trust Name:	FT 5271	Jurisdiction:	Alabama
Series Name:	the 459 new indy	Filing Type:	Amendment, Contact Change
Fiscal Year End:	January, 11 <sup>th</sup>		

CIK #:	0001623134	Fed. Id #:	
Broker Dealer:		SEC Reg #:	
BD CRD #:		State Inc.:	

<b>Issuer</b>	<b>Contact</b>
Name: SUPER FUNDS DISTRIBUTORS, LLC	Name: Wendy Winchim
Address: C/O FIRST TRUST PORTFOLIOS L.P. 120 E. LIBERTY DRIVE, SUITE 400 WHEATON, IL 60187	Firm: Address: 123 Yellow Brick Road oz, ks 66489
	Email: Phone: 2345678990
	Fax:

<b>Additional Details</b>	<b>Offering Amount</b>
Securities Description: All in One Prospectus? Yes, the securities described on this form are offered in one prospectus.	Offering Amount: \$1,500,000.00

<b>Notice Period Details</b>
The issuer elects to have its notice filing made effective upon filing

Associated Fees  
There were no fees associated with this draft.

Mark this Item as "Ready" and signed.

**Signature**

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Click **Next** to continue.

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Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

EFD FORM NF UIT Walkthrough

## Screen: Make Payment

The following steps are how you make a payment for a manually entered UIT filing. If there is a green check mark  next to series /trust filing in question then it's ready for payment.

1. When you're ready to pay click on the "Pay" button at the top of the screen.



Form NF -- UIT: Overview EFDID: [345701](#)

Advisors Disciplined Trust 2038 0001806456 ([EDGAR](#))

UIT Configuration  History

District of Columbia <span style="float: right;"><input type="button" value="Add Series"/></span>			
✓	LVL1001	Initial	\$400.00 <span style="float: right;"><input type="button" value="Clone"/> <input type="button" value="Edit"/> <input type="button" value="View"/></span>

2. Accept and Agree to the U2 consent to service of process \* New York requires the filing of a paper version specific Form U-2 with the Department of State. Please see the EFD System FAQ section for more information.

# North American Securities Administrators Association, Inc.

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## EFD FORM NF UIT Walkthrough

Form NF -- UIT: U2 Consent EFDID: 264146

FT 5271 0001023134 (EDGAR)

Consent to Service of Process: Next

**Form NF**

The undersigned has executed this Form NF Uniform Investment Company Notice Filing on behalf of, and with the authority of the issuer. The undersigned and the issuer represent that the information and statements contained in the notice filed, are current, true and complete to the best of his or her knowledge, information and belief and that any documents submitted with the notice are true copies of the originals, and that the securities covered by this Notice are Covered Securities as defined by Section 18(b)(2) of the Securities Act of 1933.

**Uniform Consent to Service of Process—Form U-2**

The undersigned, as an agent of the issuer, for purposes of complying with the laws of the jurisdictions indicated herein relating to either the registration or sale of securities, hereby irrevocably appoints the officers of the jurisdictions so designated hereunder and their successors in such offices, the issuer's attorney in those jurisdictions so designated upon whom may be served any notice, process or pleading in any action or proceeding against it arising out of, or in connection with, the sale of securities or out of violation of the aforesaid laws of the jurisdictions so designated; and the issuer does hereby consent that any such action or proceeding against it may be commenced in any court of competent jurisdiction and proper venue within the jurisdictions so designated hereunder by service of process upon the officers so designated with the same effect as if the issuer was organized or created under the laws of that jurisdiction and had been served lawfully with process in that jurisdiction.

It is requested that a copy of any notice, process, or pleading served hereunder be mailed to all agents for service as identified on the issuer's Registration Statement filed with the U. S. Securities and Exchange Commission pursuant to the Securities Act of 1933.

NOTE: A jurisdiction may still require a signed paper version of the Form U-2 be filed with the jurisdiction outside of the EFD System.

\*\*\*\*New York requires the filing of a paper version of the New York state specific Form U-2 with the Department of State. Please see the EFD System FAQ section for more information.

I accept and agree with the above statement.

Next

3. Select all States and filings you wish to add to your payment cart. Then look in the bottom right at your Add to Cart button and click on the down arrow. You have the ability to click New Cart (creates new payment cart), Pay immediately, or add it to another cart you currently have open. When you're ready to make payment Click "Add to Cart".

# North American Securities Administrators Association, Inc.

Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

## EFD FORM NF UIT Walkthrough

The screenshot displays the 'Form NF -- UIT: Select Items to Finish & Sign' page. The EFDID is 264146. The form is identified as FT 5271 0001623134 (EDGAR). The interface shows a list of items under the heading 'Ready Form NF UIT Trust/Series Notice Filing Drafts'. One item is selected: 'Alabama' with a sub-item 'the 456 new indy' and a description 'Amendment, Contact Change' for a price of \$0.00. A dropdown menu is open over the 'Add to Cart' button, showing options: 'New Cart', 'Pay Immediately', 'Cart: B1AA09--20191113', and 'Cart: MERGED CART -- 20191028'.

Item	Description	Price
<input checked="" type="checkbox"/> Alabama		
<input checked="" type="checkbox"/> the 456 new indy	Amendment, Contact Change	\$0.00

4. After clicking “Add to Cart” you will be taken to the Payment Cart Details page. Here you can fill out who is paying for this notice and the main contact in the event the regulator or support need to call the filer. When you’re ready to make a payment in the system click “Next”.

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## EFD FORM NF UIT Walkthrough

### EFD: Form NF UIT -- Payment Cart Details

Clicking the 'Next' Button will cause the system to process the selected NF Filing Drafts for any data changes since they were added to this Cart. This may change the total value to be charged. ← Back Review NF Next

#### Payment Details

Please enter the information on who is paying for the Notice.

Friendly Name:

Payment Group:

Funds Request/Memo:   
The above comment will appear in the 'MEMO' field of the transaction.

Receipts Emails:   
Enter additional notification email addresses above, with each email separated by a semi-colon:  
**i** The above emails will receive receipts. These receipts will contain information about the status of the filing as your payment is processed.

#### Payment Contact Information

Copy From Profile

Filer Name:

Filer Company Name:

Filer Telephone Number:

Filer Address 1:

Filer Address 2:

Filer City:

Filer State:

Filer Zip Code:

EFDId	Trust Name	Details	Line Amount
345701	Advisors Disciplined Trust 2038, dale (0001806456)	District of Columbia--LVL1001 Initial	\$400.00 <span>📄</span> <span>🗑️</span> <span>👁️</span>
			\$400.00

Validate Next

- The Confirmation page will have you look over everything you inputted in step 4. If everything is correct you will click "Next". If something is incorrect click "Back".

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Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

## EFD FORM NF UIT Walkthrough

### EFD: Form NF UIT -- Confirmation

Payment Memo and Email Receipts		Payer Information	
Amount:	\$400.00	Name	mario
Memo/Funds Request	3452	Company	new
Additional Emails		Phone Number	
Cart Name:	3E6812--20220518	Address 1	64 nintendo
		Address 2	
		City	tokyo
		State	japan
		Zip	010101

EFDId	Name	Details	Line Amount
345701	Advisors Disciplined Trust 2038, dale (0001806456) dale 0001806456	District of Columbia--LVL1001 Initial	\$400.00
			\$400.00

- The purpose of the ACH Payment Collection page allows the filer to make payments by ACH. That is a U.S. Account and Routing number that allow for debiting on the checking account. Not all financial institutions allow ACH Payments. You will want to verify with your bank and accounting department to ensure you're able to make an ACH payment. When you're ready click the check box for "I agree with the below terms" and click "Make Payment".
- If you are using a business account you can proceed to click "Make Payment". However, if you are using a consumer account you will need to obtain an Authorization code from EFD Support(800) 378-5007.
- After clicking "Make Payment" The payment is then scheduled and a receipt is sent to the primary email address listed on the account of the filer who made the payment. The receipt is proof of being filed unless there is a deficiency in payment or regulatory action is made against the filing.

# North American Securities Administrators Association, Inc.

Electronic Filing Depository (EFD – [www.nasaaefd.org](http://www.nasaaefd.org))

## EFD FORM NF UIT Walkthrough

✔ Your Payment of \$155.00 has been saved as Payment ID: 0026913. Please check your email for your receipt. ✕

### EFD: Form NF UIT Payment Scheduled

Scheduled Payment #0026913 NF PDF

**Payment Memo and Email Receipts**

Amount: \$155.00  
Memo/Funds Request: This is a Memo  
Additional Emails

**Payer Information**

Name: Wendy Winchim  
Company: EFD Support  
Phone Number: 2345878890

EFDId	Details	Line Amount
264146	264146 System Use Fee	\$155.00
264146	Alabama--FT 5271 the 456 new indy	\$0.00
		<b>Total:</b> \$155.00

UIT ReceiptsPrint

### Questions:

**NASAA EFD Support**  
(800) 378-5007  
[support@nasaaefd.org](mailto:support@nasaaefd.org)

**Support Hours**  
**9 am EST – 6 pm EST**  
**Excluding National Holidays**